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T.D.  
12/14/01

PATENT  
Attorney Docket No. KIRON 100 CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Kenneth Kiron and  
Kevin S. Bander

Serial No.:

Filed: HEREWITH

Examiner:

Art Unit:

For: OPEN END MUTUAL FUND  
SECURITIZATION PROCESS

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) Name KUSTINE CALLAHAN  
) (typed or printed)

) Signature Kustine Callahan

Assistant Commissioner for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Please amend the above-identified application as follows:

IN SPECIFICATION:

On page 1, line 10 of the substitute specification insert --

CROSS REFERENCE TO RELATED APPLICATION

This application is a continuation of application serial  
number 08/542,431, filed October 12, 1995.--

IN THE CLAIMS:

✓  
Cancel Claim 2.

✓  
Please add the following new Claims 3-18.